# GLOBAL POINT MASCON MODELS FOR SIMPLE, ACCURATE AND PARALLEL GEOPOTENTIAL COMPUTATION\*

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High-fidelity geopotential calculation using spherical harmonics (SH) is expensive and relies on recursive non-parallel relations. Here, a global point mascon (PMC) model is proposed that is memory light, extremely simple to implement (at any derivative level), and is naturally amenable to parallelism. The gravity inversion problem is posed classically as a large and dense least squares estimation problem. The well known ill-conditioned nature of the inversion is overcome in part using orthogonal solution methods, a judicious choice for the mascon distribution, and numerically preferred summation techniques. A variety of resolutions are examined including PMC models with up to 30,720 mascons. Measurements are simulated using truncated SH evaluations from the GGM02C gravity field derived from the GRACE spacecraft. Resolutions are chosen in order to target residual levels at least an order of magnitude smaller than the published expected errors of the GGM02C. A single Central Processing Unit (CPU) implementation is found to be approximately equal in speed compared to SH for all resolutions while a parallel implementation on an inexpensive Graphics Processing Unit (GPU) leads to order of magnitude (13 to 16 times) speedups in the case of a 156×156 gravity field. A single CPU Matlab implementation is competitive in speed with compiled code due to Matlab's efficient use of large matrix operations.

## INTRODUCTION

Trajectory propagation using conventional spherical harmonics (SH) in a high fidelity geopotential model is computationally burdensome, both in terms of wall clock time and floating point operations. <sup>1</sup> Furthermore, the fast implementations are recursive and therefore difficult if not impossible to make parallel. For real- or near real-time applications, such as the regular tracking and prediction of the space catalogue, it is simply not feasible given the current resources to account for complete high fidelity geopotential models in the special perturbation propagation techniques.<sup>2,3</sup> As the number of Earth orbiting objects continues to grow alongside with competing directives for the tracking resources, there is a clear need to develop faster techniques for computing high fidelity geopotentials.

Geodesy<sup>4</sup> literature dealing with gravity field formulation, estimation, and implementation has a long rich history rooted in the Earth and space sciences. With the expansion of robotic spacecraft exploration missions to include irregular shaped celestial bodies such as comets and asteroids, there is renewed interest in alternative techniques for representing and calculating gravity fields.<sup>5, 6, 7, 8, 9</sup> A robust and elegant solution for irregular small bodies uses a polyhedral model although the extra computational requirements are cumbersome. Unlike conventional SH, the polyhedral models converge anywhere in the exterior of the surface, including inside the Brillouin (circumscribing) sphere. Similarly volumetric models composed of cube or sphere elements are suitable everywhere in the feasible domain. While the cube and sphere models lead to simpler computation requirements for each element, 3D models require a volume integral as op-

<sup>\*</sup> Paper AAS 11-158 at 21st AAS/AIAA Space Flight Mechanics Meeting, New Orleans, Louisiana, Feb 13-17, 2011.

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posed to a surface integral in the case of the polyhedral models. In addition, volume models suffer from large errors for evaluations near the surface.<sup>5, 6</sup> Neither the volume model nor the polyhedral model are justified at the Earth because the Earth is near spherical (and spacecraft never pierce its Brillouin sphere). Also, the polyhedral method is based on a constant density shape model, a reasonable approximation for comets and asteroids but not planets. Lastly, the volume models are impractical for modeling the geopotential because they simply require too many elements to achieve sufficient resolutions.

An alternative method for computing gravity potentials involves large scale 3D interpolation models. Such methods are applicable for both irregular and near-spherical shaped bodies, and expedite computations by effectively trading computer memory for runtime speed. Essentially first proposed<sup>10</sup> by Junkins in 1976, the interpolation methods have been bolstered recently by the extraordinary memory resources of common computers. Depending on the interpolation method, a variety of techniques and basis functions are employed including weighting functions<sup>10,11</sup>, wavelets<sup>12</sup>, splines<sup>12,13</sup>, octrees <sup>14</sup> and psuedocenters<sup>15</sup>. Each interpolation method balances accuracy with the desires to minimize runtime and memory footprint while achieving exactness, continuity and smoothness as appropriate. Despite their impressive speed gains, the main drawback of interpolation schemes is their intensive memory requirements as well as the implementation complexity.

#### **Mascon Models Review**

Mascon models offer another alternative to SH and examples from the literature illustrate a diverse set of implementations and applications. The volumetric cube and sphere models used for small body gravity fields are two such examples. <sup>5,6</sup> The idea to use a collection of localized mass elements to augment geopotential computation is not new. <sup>16</sup> In fact, many of the original satellite geodesy applications in the early 1970's used spacecraft tracking data directly to fit mascon models consisting of point masses or finite surfaces of constant density. <sup>17,18,19, 20,21</sup> Their results demonstrated excellent agreement with state-of-the-art pure SH models (using resolutions of on the order of 10×10) for both the Earth and the Moon at the time. In particular, mascon models were attractive in the early days of satellite geodesy due to the limited amount of quality global data. Both terrestrial gravity anomalies and spacecraft tracking arcs were localized and combinations of the global SH with the localized mascon models provided higher resolution in regions with better data. <sup>20</sup> In the case of the Moon, continuous tracking existed for coverage of the near side leading to strong gravity signatures, however the global short wavelength terms of SH were difficult to resolve due to lack of direct coverage on the far side. <sup>18</sup> Therefore a supplementary mascon model is naturally attractive at the Moon and other planetary bodies where data are limited. <sup>22, 23</sup> Other regional applications of point mass models include local vertical modeling of the Earth's gravity field for geology and other Earth sciences. <sup>24,25,26</sup>

For global applications, high quality global measurements from satellites (and a pair of satellites in the case of GRACE) are now available at the Earth making SH well suited for geopotential models. This consistency between quality global data and the SH global basis function has contributed to the lack of general interest in mascon models in the past few decades. Although the proposed mascon models in this study are fixed to a global resolution due to the SH fitting function and an intended global domain, it is trivial to add or remove local resolution by adding or removing mascons in regions of interests. For example, consider the problem where a reference path for a guided missile or other vehicle is known a priori. One could include a fine resolution of mascons near the ground track of the vehicle, and a course resolution otherwise. The resulting potential evaluations for the vehicle would be highly accurate, and require fewer computations, yet would only be valid in the domain near the ground track. Such a scenario is impossible using SH.

A known drawback of a mascon model composed of point masses is the singularity that exists at each of the elements. The simplest method to combat the singularity issue is to bury the point masses below the surface. An alternative solution proposed by Koch 17,19,20, Morrison 1, Wong 1, and others is to replace the point masses with finite masses of constant density profiles. Koch 7 and Koch and Morrison introduced a tessellation of the Earth surface into rectangles that reduces the geopotential computation to a summation of flat plate surface integrals (similar in spirit to the polyhedral method utilized for comets and asteroids 5). Still evaluating over a connected surface layer composed of 2D rectangles, Morrison later shows that approximations of surface integrals using simple cubature rules are equivalent to point mass models with a higher resolution, and instead introduces a more realistic cubature formulae. Finally, Wong et. al. 18 sacrifice a connected surface layer in order to avoid approximations in the surface integral. They distribute

across the surface discrete masses with finite area, each represented by the potential of a circular disk. The exact potential of the disk avoids the singularity, but (similar to point mass or point sphere models) gaps or overlapping regions are unavoidable for any surface tessellation. Furthermore, while the disk enjoys a relatively simple expression for the potential compared to other finite shapes<sup>18</sup>, the evaluation requires inverse trig functions and is much slower to compute than a simple point mass.

## **Mascon Models Revisited**

In this paper, a global point mascon (PMC) model is revisited for accurate and fast representation of high fidelity geopotentials. The gravity field inversion problem, particularly when related to mascon distributions, is well known to be ill-conditioned due to the unavoidable nature of the problem (i.e. poor observability).  $^{5,6,25,27,28}$  Here, experiments are conducted with new model configurations in order to minimize the impact of the ill-conditioned inversion and simultaneously reduce the number of required mascons. Although it is emphasized that future work includes model fits using raw spacecraft measurement data, the scope of the current study is limited to fitting models to existing high fidelity SH models. Similar to most prior mascon and interpolation models, the proposed global PMC model fits only the geopotential terms beyond the two-body plus  $J_2$  contribution.

In the context of modern multi-core and multi-thread computer processors, the PMC model is attractive due to its simplicity and naturally embedded parallelism. Furthermore, the proposed model is memory light and extremely simple to implement at any derivative level. In lieu of the finite shapes and surface layers, point masses are chosen for simplicity and to accommodate the high resolutions afforded by parallel computation on Graphics Processing Units (GPUs) where speedups are most favorable for problems exhibiting many thousands of independent calculations. Similar to the surface layer models, the proposed mascons are distributed amidst a single two dimensional surface. To avoid the singularity and associated resolution problems at the surface, the mascons are buried. Unlike the mascon models proposed during the early days of spacecraft geodesy, the normal equations for reduction of a medium fidelity point mass model (e.g. 5,000 elements) can be readily solved in a few minutes on a common personal computer. Detailed numerical experiments are now feasible, thus enabling a fine tuning of important model parameters such as mascon distribution.

After a variety of experiments, the chosen solution method fixes the mascon locations and estimates only the associated gravitational parameters. Accordingly, the measurement model is linear and the mass estimation is reduced to an iteration-free linear least squares problem. The normal equations are solved using orthogonal projection methods that are known to be the most precision conserving and accurate solution methods available. The ill-conditioning is minimized through the judicious choice of the mascon distribution. The tessellation pattern (in latitude and longitude) of the point masses is found via solution to the Thomson problem from classical mechanics that seeks the minimum energy configuration of N electrons distributed on a sphere.<sup>29,30</sup> The point masses have a common global radius (or equivalently bury distance) that is selected according to an optimization on the Root Mean Square (RMS) of several least squares solutions. The algorithm is run on a variety of cases that varies 1) the number of mascons from 120 to 30,720 and 2) the SH model size from 6×6 to 156×156. Currently the number of mascons is limited based on the amount of memory available on a single desktop server. The normal equation solution for the 30,720 mascon model requires ~15 GB of Random Access Memory (RAM). Future work includes parallel computation of the normal equation solutions using distributed RAM. The resulting model with 30,720 mascons matches the 156×156 SH model surface potential with an RMS of 5×10<sup>-10</sup> normalized units (~3 mm when expressed in geoid height), almost two orders of magnitude below the published accuracy of the SH model  $(2\times10^{-8})$ . A single Central Processing Unit (CPU) implementation is found to be approximately equal in compute speed compared to SH and is valid for all resolutions. A parallel implementation on a GPU leads to order of magnitude ( $13 \times$  to  $16 \times$ ) speedups for the case of the  $156 \times 156$  SH field.

The following section gives details on the SH fitting function considered as truth in this study, followed by details on the proposed point mascon model formulation and solution procedure. Included are subsections on the least squares formulation, mascon distribution, total mass and dipole moment constraints, and target levels for residual errors. The next section gives procedural details including summation methods, scaling parameters, the bury distance optimization process, and an overall summary of the solution algorithm. Finally the results and conclusions sections are presented including data and interpretation for a variety of PMC solution models.

#### SPHERICAL HARMONICS TRUTH MODEL

The base high fidelity geopotential model is chosen to be the GGM02C 200×200 SH field derived from GRACE spacecraft data augmented by terrestrial data for the higher frequency terms.<sup>31</sup> For purposes of this study, several truncations of the GGM02C are used as truth models for estimating PMC representations of varying fidelity. The SH code implemented for measurements and performance metrics is based on the Pines<sup>32,33,34</sup> singular-free formulation using the efficient non-singular recursion formulas from Ref. [35]. Consistency checks and a second speed benchmark are performed using an independent normalized version of the classic Legendre formulation.<sup>36, 37</sup>

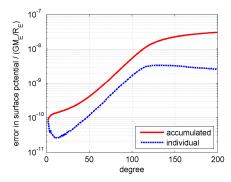


Figure 1: Expected uncertainty profile for GGM02C solution

Estimated accuracies of the GGM02C solution are given in Ref. [31] and the release notes from Ref. [38]. The accumulated error as a function of SH degree is replicated in Figure 1. For example, up to degree and order 70, the accumulated error for the geoid height is  $\sim 6$  mm or  $\sim 1 \times 10^{-9}$  in normalized units. Up to degree and order 200, the accumulated normalized error is  $\sim 3 \times 10^{-8}$ . Therefore the confidence of the potential evaluation at the surface of a  $200 \times 200$  and  $70 \times 70$  field is approximately 8 and 9 digits of accuracy respectively. To be discussed later, the accumulated error curve in Figure 1 is important as it will serve as a target for the residual error level in the mascon estimation problem. Such a curve effectively provides a calibration tool for selecting the appropriate number of mascons given a target SH field resolution.

## POINT MASCON MODEL AND LEAST SQUARES PROBLEM

The PMC model is implemented using a linear least squares formulation. In the general problem, an arbitrary number of mascons are placed at arbitrary locations within or on the Earth's surface. Measurements of the fitting function (the GGM02C solution truncated to specific degree and order) are taken at surface locations distributed approximately equally across the globe. If the locations of the mascons are fixed and the number of measurements exceeds the number of mascons, then minimizing the sum of the residuals between the mascon model and the measurements reduces to the classic weighted linear least squares problem with the following performance index *J*:

$$J = \sum_{i=1}^{m} w_i \varepsilon_i^2 = \sum_{i=1}^{m} w_i \left[ U_{PMC} \left( \mathbf{\eta}_i \right) - U_{SH} \left( \mathbf{\eta}_i \right) \right]^2$$
(1)

where there are m measurements,  $\varepsilon_i$  and  $\eta_i$  are the residual and location of the  $i^{th}$  measurement respectively,  $U_{SH}$  is the measurement and is the potential evaluated according to SH,  $U_{PMC}$  is the measurement model and is the potential according to the point mascon model:

$$U_{PMC}(\mathbf{r}) = U_{2B+J_2} + \sum_{j=1}^{n} GM_j / |\mathbf{r} - \mathbf{\rho}_j|$$
(2)

where there are *n* mascons,  $GM_j$  is the gravitational parameter for the  $j^{\text{th}}$  mascon,  $\rho_j$  is the location of the  $j^{\text{th}}$  mascon, and  $U_{2B+J2}$  is the potential due to the two-body plus  $J_2$  terms<sup>37</sup>:

$$U_{2B+J_2} = \frac{GM_E}{r} \left[ 1 - J_2 \left( \frac{R_E}{r} \right)^2 \left( \frac{3z^2}{2r^2} - \frac{1}{2} \right) \right]$$
 (3)

where z is the third component of **r** and the  $GM_E$ ,  $R_E$  and  $J_2$  values come from the GGM02C SH solution and are the gravitational parameter, radius and un-normalized oblateness parameter for the Earth respectively ( $R_E$ =6378.1363 km,  $GM_E$ =398600.4415 km<sup>3</sup>/s<sup>2</sup>,  $J_2$ =0.0010826356665511). For this study, normalized units are chosen so that  $GM_E$  and  $R_E$  are unity (1 LU=6378.1363 km, 1 TU=806.810991306733 s).

As most previous authors of both interpolation and mascon models have observed, there is a tremendous benefit if the two body and  $J_2$  contributions are removed from the fitting function per Eq. (2). In normalized units the two body and  $J_2$  contributions are  $\sim 1$  and  $\sim 10^{-3}$  units respectively. When removing both, the sum of all the other terms adds up to  $\sim 10^{-5}$  units when evaluated at the Earth surface. As an example, if the goal is to target  $\sim 10$  absolute digits of accuracy, fitting only the higher order terms leaves just  $\sim 5$  relative digits to match. Note that experiments were performed with removing a few extra terms beyond  $J_2$ . After sorting the remaining SH terms in descending magnitude, four specific terms ( $C_{22}$ ,  $S_{22}$ ,  $C_{31}$ ,  $S_{33}$ ) each are found to be more than 50% larger than the others. However, in numerical experiments, residuals did not appreciably improve when removing these extra terms. Therefore, the simpler  $J_2$  only reduction is kept according to Eqs. (2) and (3). Like the SH formulation (and unlike most interpolation schemes), it is important to note that the resulting PMC geopotential model is continuous to any order and 'exact' in the sense that the accelerations are gradients of a conservative potential.

The least squares minimization problem stated in Eq. (1) reduces to the classical normal equations:

$$(\mathbf{H}^T \mathbf{W} \mathbf{H}) \mathbf{x} = \mathbf{H}^T \mathbf{W} \mathbf{y} \tag{4}$$

where  $\mathbf{x}$  and  $\mathbf{y}$  are the unknown and measurement vectors respectively:

$$\mathbf{x}_{n \times 1} = \begin{bmatrix} GM_1 \\ GM_2 \\ \dots \\ GM_n \end{bmatrix}, \quad \mathbf{y}_{m \times 1} = \begin{bmatrix} U_{SH}(\mathbf{\eta}_1) \\ U_{SH}(\mathbf{\eta}_2) \\ \dots \\ U_{SH}(\mathbf{\eta}_m) \end{bmatrix} = \mathbf{H}\mathbf{x} + \mathbf{\epsilon}$$

$$(5)$$

and g is the measurement model and H and W are the sensitivity and weight matrices respectively:

$$\mathbf{g}_{m \times 1} = \begin{bmatrix} U_{PMC}(\mathbf{\eta}_{1}) \\ U_{PMC}(\mathbf{\eta}_{2}) \\ \dots \\ U_{PMC}(\mathbf{\eta}_{m}) \end{bmatrix}, \quad \mathbf{H}_{m \times n} = \frac{\partial \mathbf{g}}{\partial \mathbf{x}} = \begin{bmatrix} \frac{1}{|\mathbf{\eta}_{1} - \mathbf{\rho}_{1}|} & \frac{1}{|\mathbf{\eta}_{1} - \mathbf{\rho}_{2}|} & \dots & \frac{1}{|\mathbf{\eta}_{1} - \mathbf{\rho}_{n}|} \\ \frac{1}{|\mathbf{\eta}_{2} - \mathbf{\rho}_{1}|} & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots \\ \frac{1}{|\mathbf{\eta}_{m} - \mathbf{\rho}_{n}|} & \dots & \dots & \frac{1}{|\mathbf{\eta}_{m} - \mathbf{\rho}_{n}|} \end{bmatrix}, \quad \mathbf{W}_{m \times m} = \begin{bmatrix} w_{1} & 0 & \dots & 0 \\ 0 & w_{2} & \dots & 0 \\ \dots & \dots & \dots & \dots & 0 \\ 0 & 0 & 0 & w_{n} \end{bmatrix}$$
(6)

It is well known that the inverse gravity problem is ill-conditioned. Numerically the problem is combated using householder rotations, an orthogonal solution method, to solve directly the minimum norm problem in Eq. (1). The orthogonal solution is implemented with the standard dgelsy routine from LAPACK. Note that the dgelsy routine requires the entire dense H matrix as input. For a model with 30,000 mascons, and assuming a 2:1 ratio of measurements to unknowns, the H matrix alone would include 1.8 billion entries occupying 13.7 GB of memory when represented in double precision. In order to save memory, experiments were performed to accumulate sequentially the symmetric H<sup>T</sup>WH matrix that includes only n(n+1)/2 entries, then solve the linear system in Eq. (4). Important information in the trailing digits of the H<sup>1</sup>WH matrix is irrecoverably lost in the process of accumulating H<sup>1</sup>H, and therefore the memory improvements are not deemed worthy of the performance hit in terms of residuals. As an example, the same level of residuals can be achieved with ~40% fewer mascons (representative improvement) when solving the equations via the orthogonal methods. Therefore, the improved numerical treatment due to the orthogonal rotations justifies the high memory requirements. Currently, dgelsy is utilized for simplicity. However, future implementations will include custom orthogonal methods that utilize sequential accumulation of the measurements in order to save both memory and precision. Also, for obvious reasons, it is preferred to augment the  $i^{th}$  row of **H** with a multiplier of  $w_i^{1/2}$  instead of dealing directly with the large sparse W matrix.

It is worth mentioning that experiments were performed using quad arithmetic, and additional performance gains are possible similar to those resulting from the orthogonal solution methods. However, due to the precision loss associated with the dynamic range of the terms in the mascon summation in Eq. (2), the improved performance afforded by the quad precision solution to the normal equations is only maintained if the runtime summation is also computed in quad precision. Therefore, the remainder of the study will proceed with double precision in efforts to reduce runtimes and retain compatibility with GPUs.

## **Radial Mascon Distribution**

The ill-conditioned nature of the problem is largely a function of the geometry (observability) between the locations of the measurements and the mascons. If the mascons are too close to one another, their individual gravity signature degrades. If the mascons are too far from one another the resolution of the resulting composite function degrades. This competition between resolution and ill-conditioning points toward an optimization problem that allows the mascon geometry to adjust. Ideally, each of the three coordinates and the mass of each mascon should be free parameters in the least squares problem. However, this approach leads to a nonlinear measurement model and the number of unknowns increases to 4n. The nonlinear least squares optimization problem may not converge at all or may require hundreds or more iterations, noting that a single solution to the normal equations with 30,000 unknowns and 60,000 measurements requires on the order of 1 CPU day (using an Xeon 3.2 GHz processor).

To remain practical, the least squares problem is kept linear by fixing the mascon distribution and solving the linear problem inside each iteration of an optimization loop. The global mascon radius acts as the single optimization variable because it is particularly sensitive to the resolution and ill-conditioning. Each linear problem has a global solution in just one iteration, and the one-dimensional optimization problem is solved via a simple, gradient-free, quadratic polynomial interpolation method, and generally converges in five to ten iterations. The independent variable is the global radius (equivalently the bury distance) for the mascon locations while the performance index is the RMS of the residuals of the linear least squares problem. Figure 2 gives a diagram overview of the proposed global point mascon model.

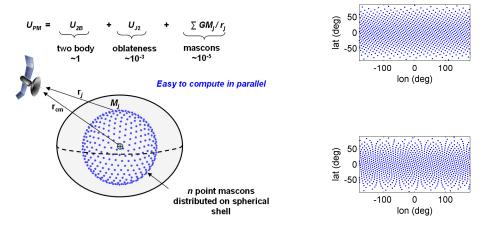


Figure 2: Point mascon model

Figure 3: Thomson problem, *n*=960, spiral algorithm guess (top row) and converged solution (bottom row)

#### The Thomson Problem and Lateral Mascon Distribution

To further improve conditioning, the lateral distribution of the mascons is chosen to approximate a solution to the classic 'Thomson Problem', which poses the equivalent question of how to equally space N points across the surface of a sphere. <sup>29,30,39</sup> The Thomson problem spacing is ideal in the current application where the fitting function is SH and therefore global with similar frequencies across the whole domain. Approximate Thomson solutions are first obtained using simple spiral algorithms that divide lines of latitude into parallel bands of equal area and place nodes along the spiral at a spacing consistent with the distance between the spiral coils. In this study, a variant of the spiral algorithm from Ref. [39] is used as an initial guess to a rudimentary steepest descent algorithm that adjusts the node locations in the direction of a minimum energy configuration. The full problem is equivalent to an all-in-all n-body gravitational simula-

tion and the compute times increase exponentially with the number of nodes. The solution is considered converged when the total system energy changes less than 1 part in  $10^{12}$  from one iteration to the next. Depending on the quality of the initial guess and size of n, solutions can take on the order of 100 to 100,000 iterations to converge. For this study, solutions are found and archived for  $n = 30 \times 2^q$  for  $q = 0 \rightarrow 12$ . This specific sequence of numbers allows for up to the order of 100,000 mascons, and is specially chosen to be efficient in the case of parallel computations on a GPU where computing threads are available in large blocks of size  $30 \times 2^q$ . The n=122,880 solution required on the order of 1 CPU day to compute (using a Xeon 3.2 GHz processor). While other more efficient algorithms are possible, the details of the Thomson problem and solutions are not the focus of the current work. Figure 3 illustrates an example initial guess and converged solution for the case of n = 960. While the spiral algorithm provides a good initial guess as seen in the top row, visually it is apparent in the bottom row that the solution achieves a more equal distribution of spacing between the nodes.

When the Thompson solution spacing is used for the mascon distribution, numerical experiments reveal that the RMS of the residual errors are improved by a representative 50% when compared to using the spiral algorithm spacing only. Marginal performance gains are found when using measurement locations corresponding to Thomson problem solutions as opposed to spiral solutions. While the improvements may not justify the extra work to compute a Thomson solution, such a strategy seems technically prudent in order to maximize symmetry, thus minimizing the number of required measurements. Therefore it is suggested to use a Thomson spacing for the measurement locations if the solutions are available.

## Constraints on the total mass and dipole moment

It is sensible that the sum of the masses in the current problem formulation will result to approximately zero because the true surface integral of the SH fitting function evaluated over the full surface is zero. As a high order precaution, extra care is taken to ensure that the sum of the mascon masses is indeed zero in order to maintain a consistent mean motion for a spacecraft as compared to the two-body only model. Therefore, the desired constraint is:

$$\sum_{j=1}^{n} GM_{j} = 0 \tag{7}$$

The least squares solution indirectly solves the problem to first order, noting from experience that in double precision the normalized mass summations of the solutions are typically on the order of  $10^{-12}$  or smaller. In order to constrain that the total summation is exactly zero (to the numerical extent possible) according to Eq. (7), the Thomson problem and the least squares problem is solved instead with n-1 mascons, and the final n<sup>th</sup> mascon is chosen to exactly negate the summation of the first n-1 terms:

$$GM_n = -\sum_{j=1}^{n'} GM_j$$

$$n' = n - 1$$
(8)

As will be discussed later, significant errors accumulate in the summation term in Eq. (8) due to a variety of reasons including order of operations and large magnitude variations. To avoid such considerations at this stage, the summation term in Eq. (8) is performed in extended arithmetic utilizing quad precision (16 bytes), and the resulting negated sum is truncated to double precision and stored in  $GM_n$ .

The position of the  $n^{th}$  mascon is located, if possible, such that the dipole moment of the mascon distribution is the zero vector. It is tempting to require that the center of mass is located at the origin, however the center of mass definition includes the mass summation in the denominator and therefore can be singular when negative masses are allowed. Instead the numerator only is considered and is defined as the dipole moment (from electrostatics where charges can be negative). Accordingly Eq. (9) requires that the total dipole moment is zero, and has the effect of requiring the gravity forces to be exactly radial when evaluating the field far from the body.

$$\sum_{j=1}^{n} GM_{j} \mathbf{\rho}_{j} = \mathbf{0} \tag{9}$$

Then, analogous to Eq. (8), the position of the  $n^{th}$  mascon is computed (also in quad precision for the same reasons) from Eq. (9):

$$\mathbf{\rho}_{n} = -\frac{1}{GM_{n}} \left( \sum_{j=1}^{n'} GM_{j} \mathbf{\eta}_{j} \right) \tag{10}$$

If the final mass location is too close to the surface we enforce the safeguard in Eq. (11) and live with the resulting small violation to Eq. (9). Note that the extra mass will cause a slight decrease in the quality of the solution. Post-fit checks are performed to ensure that the contribution of the additional term is well below the RMS error levels.

$$\mathbf{\rho}_n = \min(0.3R_E, \rho_n) \times \mathbf{\rho}_n / \rho_n \tag{11}$$

An alternative method to enforce the constraints in Eqs. (9) and (10) is to impose formal constraints on the least squares problem in the form of extra 'measurements' or penalty constraints in the performance index. Accordingly, the original performance index from Eq. (1) can be augmented as:

$$J' = J + \left[ w_s \left( \mathcal{E}_{m+1} \right)^2 + \sum_{i=1}^3 w_{di} \left( \mathcal{E}_{m+1+i} \right)^2 \right]$$
 (12)

and the terms in Eqs. (5) and (6) are appended to become:

$$\mathbf{y}_{m+1:m+4} = \begin{bmatrix} y_{m+1} \\ y_{m+2} \\ y_{m+3} \\ y_{m+4} \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \quad \mathbf{g}_{m+1:m+4} = \begin{bmatrix} g_{m+1} \\ g_{m+2} \\ g_{m+3} \\ g_{m+4} \end{bmatrix} = \sum_{j=1}^{n-1} \begin{bmatrix} GM_j \\ GM_j (\rho_x)_j \\ GM_j (\rho_y)_j \\ GM_j (\rho_z)_j \end{bmatrix}$$
(13)

$$\mathbf{H}_{m+1:m+4,1:n-1} = \begin{bmatrix} 1 & 1 & \dots & 1 \\ (\rho_x)_1 & (\rho_x)_2 & \dots & (\rho_x)_{n-1} \\ (\rho_y)_1 & (\rho_y)_2 & \dots & (\rho_y)_{n-1} \\ (\rho_z)_1 & (\rho_z)_2 & \dots & (\rho_z)_{n-1} \end{bmatrix}, \quad \mathbf{W}_{m+1:m+4,m+1:m+4} = m \begin{bmatrix} w_s & 0 & 0 & 0 \\ 0 & w_{d1} & 0 & 0 \\ 0 & 0 & w_{d2} & 0 \\ 0 & 0 & 0 & w_{d3} \end{bmatrix}$$

$$(14)$$

where  $w_s$ ,  $w_{d1}$ ,  $w_{d2}$  and  $w_{d3}$  are weights that can be adjusted to influence the constraints. The quality of the solution residuals is not highly sensitive to these weights (for low values), noting that the constraints indeed can be driven lower at a very modest expense in the RMS of the residuals. However, numerical experiments reveal that weights too large can degrade accuracy for function evaluations at altitudes above where measurements were taken. Weights less than or  $O(10^2)$  give reasonable performance. For results presented in this study, both approaches (explicit constraints and the additional mass) are utilized. Each component of the dipole sum must be smaller than the mass sum in order to keep the final mass location in Eq. (10) close to Earth center and avoid the Eq. (11) safeguard. Therefore, it is generally suggested that  $w_{di}$  is larger than  $w_s$ .

Lastly, it is acknowledged that the summation constraint could also be solved explicitly (by removing the last mass from the unknown vector but keeping it in the measurement model) instead of including it in the performance index. However, an equivalent treatment to the dipole constraint makes the measurement model nonlinear and therefore not appealing.

## **Target Levels for Residual Errors**

The curve in Figure 1 provides a calibration for residual error level targets in the mascon estimation problem. To remain conservative, it is desired to achieve RMS residual errors of approximately one order of magnitude lower than the published accuracy of the original SH GGM02C solution. The moving target changes depending on the fidelity of the field that is being fit. The highest fidelity field used in the current study is  $156 \times 156$  and corresponds to a normalized error of  $2 \times 10^{-8}$  or  $\sim 8$  digits of confidence. Therefore the residual RMS error target for the associated mascon solution is  $O(10^{-9})$ . The mascon solutions that fit lower fidelity fields, such as a  $10 \times 10$  truncation, target residual errors  $O(10^{-11})$ . Accordingly, the residuals for the mascon estimation solutions will be masked by the accuracy of the original SH GGM02C solution. These residual targets provide a calibration for the selection of number of mascons given a target degree SH field, or inversely, the selection of the degree SH field given a target number of mascons. Note that the accelerations derived from the SH model and the mascon model will have larger discrepancies due to the differentiation. However, it is emphasized that the original SH solution is fit using potentials (not accelerations) and errors compared to the unknown truth are also introduced when differentiating the SH potential.

#### PROCEDURAL DETAILS

In this section details are presented about the solution finding and evaluation procedures.

### **Summation procedure**

The choice of summation method can have a significant effect on the result of a many-argument summation,  $^{40}$  especially in cases such as the mascon problem where terms include both signs, vary in size by several orders of magnitudes, and sum to a small number. As an example a 30,720 mascon solution includes normalized mass term magnitudes as small as  $\sim 10^{-5}$  and as large as  $\sim 10$ . Therefore, the mass magnitude range is up to  $\sim 6$  digits while the collective potential sum is  $O(10^{-5})$ . This ill-conditioned summation is a main culprit in precision loss. To combat this precision loss to the extent possible, two well-known summation techniques are suggested. The first is a divide and conquer algorithm known as 'pairwise' or 'cascade' summation and requires the same number of additions as a naive sum but includes minor overhead for extra loops (and function calls in the case of a recursive implementation). A second more accurate (but much slower) method known as 'Kahan' or 'compensated' summation uses an additional term that accumulates small errors.

From experiments with the mascon models (and consistent with results in the literature), it is found that pairwise summation implemented with ~6 recursive calls (see Algorithm 1) provides an extra ~1-2 digits of precision in comparison to a naive summation, and comes at a modest speed penalty of a few percent. The Kahan approach only provides an extra ~half digit of precision over the pairwise approach, and therefore doesn't justify the extra compute time for the problems considered. Note also that the sort order of the summation vector can also play a large role in precision loss or preservation. For this study, an ascending order sort of the mascon magnitudes is found to be marginally preferred when compared to a variety of other sort orders. It is emphasized that the suggested sort order and pairwise summation method results only from cursory experiments. Users of the published PMC models are encouraged to test other methods for potential improvement. Readers are referred to Ref. [40] and the references therein for details on summation algorithms. Finally, it is also noted that a parallel summation algorithm designed for speed will use a divide and conquer method similar to that from the pairwise algorithm. Therefore, it is expected that parallel results from the GPU will achieve similar precision levels to that of the CPU using pairwise summation

Algorithm 1: Pseudo code for recursive pair-wise summation. For this study, p=int(n/50).

```
RECURSIVE:

sum=pairwise_Sum(x,n,p)

input: x vector of n elements, p tuning parameter controlling number of recursions

output: sum

IF (n < p)

sum = naive_Sum(x)

ELSE

i = n/2

sum = pairwise Sum(x(1:i),i,p) + pairwise Sum(x(i+1:n),n-i,p)
```

## **Scaling parameters**

The runtime required to solve the linear least squares problem increases with  $O(n \times m) \approx O(\gamma \times n^2)$ , where a new multiplier  $\gamma$  is defined such that

$$m = \operatorname{int}(\gamma \times n') \tag{15}$$

where  $\gamma$  relates the number of mascons to the number of measurements. A reasonable value for  $\gamma$  is 2.0 noting that larger values cause unnecessarily long runtimes and large memory requirements for the linear least squares problem while smaller values may cause poor observability and result in residual statistics that are not representative of the full domain, particularly when evaluated at altitudes above the surface where the measurements are taken.

The number of topological features in the SH function is  $O(d^2)$ , where d is the degree and order of the SH fitting function. Therefore, a second scaling parameter  $\alpha$  is introduced such that:

$$n' = \operatorname{int}\left(\alpha \times d^2\right) \tag{16}$$

Or similarly

$$d = \operatorname{int}\left(\sqrt{n'/\alpha}\right) \tag{17}$$

where  $\alpha$  relates the number of mascons to the resolution of the SH fitting function. The specification of  $\alpha$  provides control over the resolution of the mascon model, and naturally accounts for the squaring effect of the field size. In practice, it is found that  $\alpha \approx 1.2$  is the lower limit and approximately represents the Nyquist limit for capturing the highest frequencies of the SH function. Scaling the mascon numbers according to Eq. (16) or (17) is preferred because a single value for  $\alpha$  results in similar magnitudes of residuals independent of the number of mascons or size of the SH field. To be discussed further in later sections, values in the range  $1.2 < \alpha < 2.6$  lead to approximate global fit errors of  $O(10^{-9}) > \text{RMS}(\varepsilon) > O(10^{-13})$  respectively.

Considering the new parameter  $\alpha$ , the runtime complexity is  $O(n \times m) \approx O(\gamma \times \alpha \times d^4)$ . Therefore, the complexity grows with fourth power of the size of the SH fitting function. The maximum value of d in the current study is 156 and is based primarily on memory limitations but also due to runtime considerations using a single desktop server. While the largest solution run in this study  $\{n'=30719, \alpha=1.25, \gamma\sim 2\} \rightarrow \{d=156, m=61439\}$  requires on the order of 1 CPU day to complete, a similar run with d cut in half (while keeping  $\alpha$  and  $\gamma$  the same) leads to a  $2^4=16$  fold reduction in both runtime and memory requirements.

## Algorithm 2: Point mascon solution generation

#### **Main Inputs**: $\{\alpha, n_{\text{max}}\}$ where:

- $\alpha$  is primary mechanism to control the residual error levels (reasonable values 1.2< $\alpha$ <2.6)
- $n_{\text{max}}$  is the largest number of mascons desired (the upper limit for current implementation using a single processor with ~16GB RAM is ~32,720).

## **A.** Set general tuning parameters $\{\gamma, w_j, w_s, w_{d1}, w_{d2}, w_{d3}\}$ where

- $\gamma$  adjusts the ratio of measurements to mascons (reasonable value ~2.0).
- $w_i$  is the weight for each of the *m* measurements (reasonable value ~1)
- $w_s$  and  $[w_{d1}, w_{d2}, w_{d3}]$  are weights to optionally control mass and dipole constraints (reasonable values  $< \sim 10^2$ )

## **B.** Initialize counters: q=0, n=60

### **C**. DO WHILE $(n \le n_{\text{max}})$

- 1. Increment loop: q=q+1,  $n=n\times 2$
- 2. Set resolution of mascon field: n'=n-1
- **3.** Set resolution of SH field d from Eq. (17)
- **4.** Set number of measurements m from Eq. (15)
- 5. Set the latitude and longitude coordinates for *m* measurement locations via the spiral algorithm or Thomson problem solution if available. Set radius of each location to 1 mean Earth radius.
- **6.** Set the latitude and longitude coordinates for n' mascons via Thomson problem solution
- Choose the global mascon bury distance  $b_*$  and solve the associated least squares problem

CASE A: Solve the 1D optimization problem for RMS( $\epsilon$ ) as function of b:

- Initial b guess: IF q>2 THEN extrapolate  $b(n, n_{q-1}, n_{q-2}, b_{*q-1}, b_{*q-2})$  ELSE b=0.5
- Minimize the RMS of the residuals ε resulting from the fixed b linear least squares problem of Eq. (5) and described orthogonal solution method with definitions for y, W, and H from Eqs. (6), (7), (13), and (14). Computation of y is independent of b and thus only necessary on first iteration.
- Record the resulting  $b_*$  and associated solutions  $GM_j$  for  $j=1 \rightarrow n'$  mascons

CASE B: Choose predetermined b value (based on previous solution optimization for example) and solve least squares problem

- **8.** Archive the mascon solutions  $(GM_j \text{ and } \mathbf{p}_j \text{ for } j=1 \rightarrow n' \text{ mascons})$  in ascending order of  $|GM_j|$
- 9. Solve for GM and  $\rho$  of the  $n^{th}$  mascon from Eqs. (8), (10) and (11) to enforce the mass and dipole constraints

**Main Outputs**:  $GM_k$  and  $\rho_k$  for  $k=1 \rightarrow n$  mascons for each of the q solutions

## **Optimization loop**

In the optimization loop, the RMS of the residuals of the linear least squares solution is minimized over the single global mascon radius or equivalently the bury distance, b. This optimization problem is performed using a non-gradient based quadratic polynomial interpolation scheme. The method samples the solution space initially at a course resolution and when a minimum is detected between three neighboring points, a quadratic polynomial is interpolated and the next iteration is initialized using a finer resolution and a sampling centered at the interpolated minimum. The algorithm iterates until the RMS errors of the three points are of the same order of magnitude. Any one dimensional optimization method will work, however, the described method is chosen as a simple solution that balances complexity with required function calls.

The optimization loop generally converges in 5-15 iterations depending on the quality of the initial guess. For small field solutions, the runtime for 15 linear least squares calls is trivial. To reduce the number of iterations for the large field solutions where the runtimes are very significant, groups of solutions are sought for a given  $\gamma$  and  $\alpha$ , and a sequence of n values. A near-linear relationship is found between n and the optimized bury distance,  $b_*$  when n is plotted on a log scale. Accordingly the sequence for n is chosen such that n approximately doubles in successive problems (also leading to efficient block sizes for use on the GPU as discussed before). Then, to improve the initial guess for b in the case of runs with large values of n, a simple linear extrapolation is performed based on the  $b_*$  values resulting from the previous two solutions

### **Algorithm Summary**

In summary, Algorithm 2 gives an outline for computing a sequence of different sized PMC solutions each with approximately similar residual error statistics. By changing the input value of  $\alpha$ , the algorithm can be repeated as desired for different residual error targets. Meaningful error targets are provided in Figure 1 according to the expected errors of the geoid height for the SH fitting function.

## POINT MASCON MODEL SOLUTIONS

To demonstrate representative PMC models, the algorithm is applied for a medium size maximum resolution of  $n_{\text{max}}$ =7,680 mascons and is repeated over a broad range of  $\alpha$  values spanning 0.7 to 2.55 at increments of 0.05. In this preliminary run  $\gamma$  is set to 1.6, the measurement weights are set to 1,  $w_s=10$ ,  $w_{d1}=w_{d2}$ =10,000 and  $w_{d3}$ =10,000. The results in terms of residual statistics, resolution of the SH field, and number of mascons are illustrated in Figure 4-Figure 8. Figure 4 shows a subset of results for a sampling of runs with  $\alpha$  increments of 0.45. Each run is represented by two nearly horizontal sets of points where dashed lines and empty markers give RMS of the residuals and the full lines and filled markers give max residuals. The five runs demonstrate the general trends that 1) order of magnitude of residuals is approximately fixed for a given  $\alpha$ , and 2) the residuals improve with increasing  $\alpha$ . Figure 5 shows the data for all runs (every  $\alpha$ ) with the resolution of the SH field on the abscissa. Lines are not connecting the points resulting from a single run because the natural ordering occurs for constant n as opposed to the constant  $\alpha$  (per the particular design of the algorithm). In this representation, each family of constant n reveals a hook toward the top of the plot in the 10<sup>-8</sup> to 10<sup>-6</sup> low resolution range. Below the hook, each family exhibits a near linear relationship between residuals and degree of the SH fitting function in this log-log scale. The linear region below the hook exists for approximately  $\alpha \ge 1.2$  for all cases and indicates the region where reducing d (i.e. increasing  $\alpha$ ) leads to efficient improvements in accuracy. This  $\alpha \sim 1.2$  boundary represents the Nyquist limit for the minimum number of mascons to accurately capture the high frequency terms in the SH fitting function. Figure 6 illustrates the RMS data from Figure 5 except in terms of  $\alpha$ . Because d as computed from Eq. (17) is not single valued in terms of  $\alpha$ , redundant calls occur across runs with small changes in  $\alpha$  when n' is small. To better illustrate the resolution characteristics as a function of  $\alpha$ , these redundancies in Figure 6 are removed. The efficiency boundary at  $\alpha \sim 1.2$  is evident in Figure 6 where the curvature switches sign. Note, also that this boundary is evident in Figure 4 from the non-linear spacing of the families.

Considering only runs with  $\alpha$  above the 1.2 boundary, Figure 7 demonstrates the noteworthy result that the optimized bury distance is (approximately) independent of d for a given n (noting the small variations may be due to the loose convergence tolerances in the RMS optimizations). Accordingly, this result suggests that the algorithm as presented only needs to be run once with a high value of  $n_{\text{max}}$ . Then, on successive runs with different  $\alpha$  values, the optimization portion of the algorithm can be initiated or simply restricted to one iteration using the stored  $b_*$  values from the original  $\alpha$  run (see step 7 CASE B in Algorithm

2). Furthermore, because the normal equations need only to be solved once, multiple measurement vectors **y** representing different truncations of the fitting function can be handled simultaneously. The circle markers in Figure 8 illustrate the mean of each group of solutions with the same *n* from Figure 7 as a function of *n*. The near-linear trend over short intervals in Figure 8 is useful for both interpolation and extrapolation for future runs with a different number of mascons.

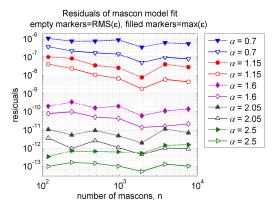


Figure 4: Broad survey of PMC solutions: residuals vs. number of mascons

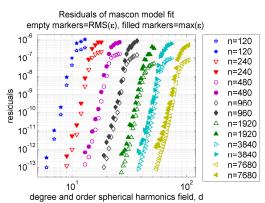


Figure 5: Broad survey of PMC solutions: residuals vs. size of SH field

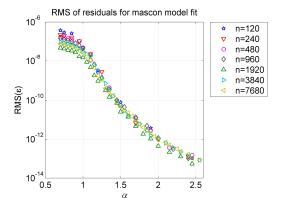


Figure 6: Broad survey of PMC solutions: residuals vs. a. Redundant runs removed.

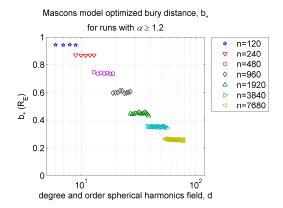


Figure 7: Broad survey of PMC solutions: bury distance vs. size of SH field

From Figure 7, the largest degree and order SH field is 79 and results when n is highest (7680) and  $\alpha$  is lowest (1.2) considering only the efficient range of  $\alpha \ge 1.2$ . To accommodate higher order SH fields, the algorithm is rerun for larger  $n_{\text{max}}$  with just a few targeted  $\alpha$  values based on the error curves in Figure 1 and the residuals achieved in Figure 6. Included in Figure 8 are data from these new runs ( $\alpha = 1.25$ , 1.5 and 1.75) that extend to the 30,720 mascon case. Long runtimes prohibited all the  $\alpha$  cases from being extended to large n. As discussed before, a two-fold increase in d leads to a four-fold increase in n and a 16-fold increase in runtime and memory requirement. A practical limit of 16 GB RAM is imposed. This constraint leads to an upper bound on  $n_{\text{max}}$  at 32,720 mascons (when  $\gamma = 2$ ) and requires on the order of 1 CPU day to solve the normal equations considering a non-parallel implementation on a single CPU (Xeon 3.2 GHz). For  $\alpha = 1.25$ , the n=32,720 solution leads to a 156×156 SH field.

When evaluating potential and acceleration comparisons to the SH function at altitudes above the surface, it is observed that some cases of this preliminary run suffer from degradation in accuracy in the form of unusually large localized biases near the north pole. Ensuing experiments showed that increasing  $\gamma$  to ~2 (using an archived Thomson solution spacing with 2n-1 measurements) and reducing the dipole and mass weights (to  $w_s$ =0,  $w_d$ =10) improved performance at these higher altitudes (noting that surface residuals are not highly sensitive to these changes in  $\gamma$  or w). Accordingly, for the final solution files, the cases of  $\alpha$ =1.25, 1.5, and 1.75 are rerun using the simplified form of the algorithm (CASE B in Algorithm 2 step 7) using the previously optimized  $b_*$  values from Figure 8. Finally, four of the resulting solutions are chosen

for further characterization: PMC11n240, PMC33n1920, PMC71n7680 and PMC156n32720 in order of increasing fidelity (where the nomenclature of the labels indicate the size of the fitting field and the number of mascons). The particular solutions are chosen such that the RMS errors of the least squares solution are at least one order of magnitude smaller than the associated accumulated error levels of the SH fitting function from Figure 1. Table 1 shows this conservatism ratio as well as other details on each of the chosen solutions.

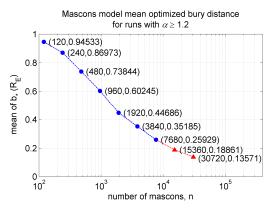


Figure 8: Mean optimized bury distance. Circles include data from preliminary run (Figure 7) while triangles include data from extending runs with  $\alpha$ =[1.25, 1.5, 1.75] to large n.

Model descriptor	Size of SH fitting field, $d \times d$	Number of mascons,	α	Mascon bury distance, $b_*$ $(R_E)$	Accumulated normalized error of SH field	RMS of resi- duals for mascon fit	Conservatism ratio, (SH err)/(PMC resids)
PMC11n240	11×11	240	1.75	0.8697	$1.4 \times 10^{-10}$	5.5×10 <sup>-12</sup>	25
PMC33n1920	33×33	1,920	1.75	0.4469	2.3×10 <sup>-10</sup>	1.5×10 <sup>-11</sup>	15
PMC71n7680	71×71	7,680	1.5	0.2593	1.1×10 <sup>-9</sup>	4.2×10 <sup>-11</sup>	26
PMC156n32720	156×156	30,720	1.25	0.1357	2.4×10 <sup>-8</sup>	5.4×10 <sup>-10</sup>	44

**Table 1: Archived point mascon models** 

## POINT MASCON MODEL PERFORMANCE

In this section, the PMC solutions from Table 1 are evaluated for performance in terms of runtime and accuracy in comparison to computations using their associated SH fitting functions. The speed test is performed using random evaluations where the SH model is evaluated using the singular-free and Legendre methods described previously. The PMC model is implemented in two different manners: 1) on the same CPU as the SH model and 2) on a GPU to investigate potential for parallelism. Two accuracy tests are performed: The first is a global grid evaluation at various heights comparing the potentials and accelerations using a regular grid of resolution  $10d \times 5d$  in the longitude-latitude space (to ensure the capture of the highest frequency SH terms). The second test evaluates the PMC model performance in representative trajectory propagations.

## Accuracy: Global grid evaluations

Using the high resolution grid, Figure 9 and Figure 10 show global contour maps of the PMC156n30720 geopotential and difference with the  $156\times156$  SH function evaluated at the surface, respectively. Note that the conventional measure of geoid height<sup>41</sup> is recoverable from Figure 9 by treating the units as non-dimensional and then multiplying by  $R_E$ . The spacing of the mascons and the bumpy mascon signature is apparent in Figure 10. Consistent with the RMS of the least squares solution residuals, the RMS of the regular grid evaluation is  $5\times10^{-10}$ . The mean is three orders of magnitude smaller indicating a well behaved fit.

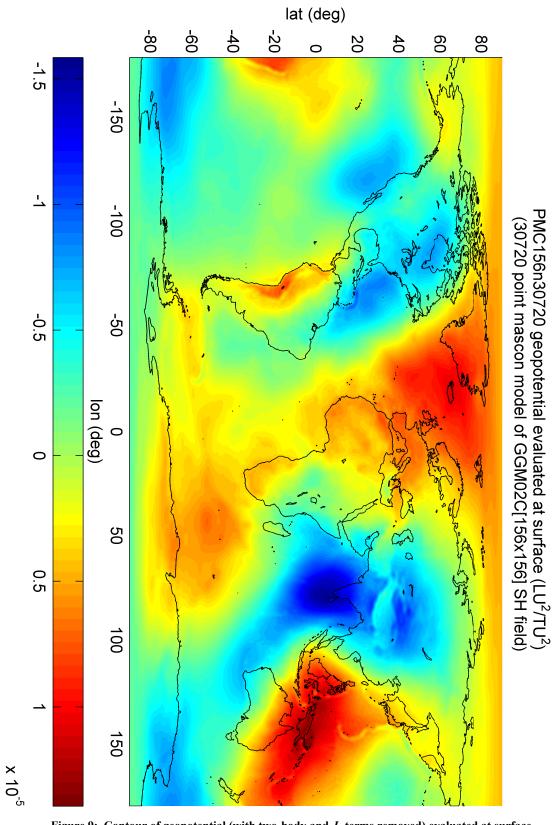


Figure 9: Contour of geopotential (with two-body and  $J_2$  terms removed) evaluated at surface using high fidelity point mascon model: PMC156n30720

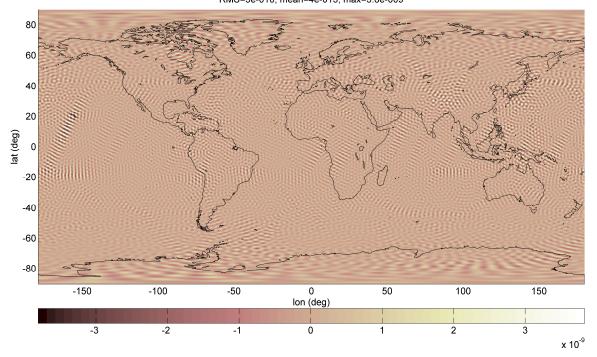


Figure 10: Difference between surface potential evaluated with 30,720 point mascons and 156×156 spherical harmonics fitting function

To assess the performance in the spacecraft operational domain (i.e. not on the surface), each of the PMC models from Table 1 are compared to the SH models at each grid node at altitudes of  $50 \times 2^i$  km for  $i=0 \rightarrow 7$  spanning 50 km to  $\sim 1~R_E$ . Figure 11 shows a sample of the results illustrating statistics for differences in potentials and norms of the accelerations. Despite that the bumps are accentuated in the acceleration plots, the maximum difference at 200 km is small at  $\sim 3 \times 10^{-9} \, \text{LU/TU}^2$  or  $\sim 3 \times 10^{-5} \, \text{mm/s}^2$ . Furthermore, regions of higher residuals are limited in magnitude and reasonably distributed across the domain, although some localized biasing is evident. As expected the fit is best in terms of distribution of residuals at the surface where the measurements are taken, while the biasing increases with altitude despite the steady decrease in RMS.

The statistics for all of the runs for each of the PMC models are summarized in Figure 12. In general, the curves do reflect that PMC models have the natural (and physically accurate) property that residuals reduce with increasing evaluation altitude. The PMC model has known singularities at each of the mascons; therefore the higher fidelity models with shallower mascon bury distances suffer more dramatic curvature near the surface (left side) of Figure 12. As expected for a given field the differences in acceleration are higher near the surface due to the differentiation of both models. It is re-emphasized however, that SH coefficients are also originally fit using a potential model, and there is a natural loss in accuracy associated with differentiating the SH model.

## **Speed: Random evaluations**

For each of the PMC models from Table 1, runtimes are compared for potential and acceleration computations using both the PMC and SH methods evaluated at randomized locations. The CPU for this test is a Xeon processor E5520 (2.27Ghz) and the source code is written in Fortran 95 and compiled with Intel Fortran 12.0 using the -O2 optimization settings. The absolute compute times are given in Figure 13. The two implementations of SH vary by ~25% in runtime where the Lagrange method is slower than the singular-free approach (contrary to the results of Ref. 1, although it is emphasized that presently no higher order derivatives are computed and other compiler optimization settings or general implementations may lead to

different results). The parallel implementation is performed on two different GPU cards: the Tesla C2050 and the GeForce GTX 465. Due to the nature of the simple computations being performed in parallel for the mascon application, the procedure does not benefit much from the high end compute power available in the C2050 card (currently retails for ~\$US 2500). To the contrary, the PMC problem leads to a classic GPU application that is limited by available bandwidth connecting the data transfer between the CPU and GPU. The more affordable GeForce GTX 465 card (currently retails for ~\$US 250) enjoys similar bandwidth to the C2050 and thus achieves nearly similar speedups.

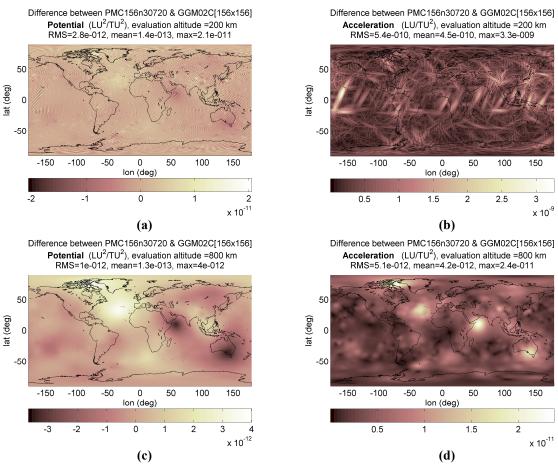
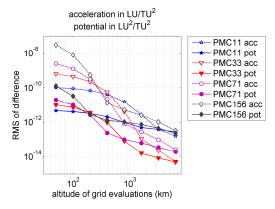


Figure 11: Contour map of difference between high resolution mascon and SH models. (a) and (b) evaluated at 200 km, (c) and (d) evaluated at 800 km; (a) and (c) potentials, (b) and (d) accelerations

Figure 13 shows that the serial CPU implementation of the PMC model is on the same order of magnitude in speed compared to the SH implementations, with minor differences depending on the SH method, the size of the field (and, not shown here, the choice of processor, operating system, compiler and compiler settings). The GPU computation gains efficiency with increasing number of mascons. For the highest fidelity (156×156) field, the GPU implementation demonstrates a 13× speedup in comparison to the singular-free SH method, and a  $\sim$ 16× speedup compared to the Lagrange method. The breakeven point where a GPU implementation begins to favor that of a CPU is approximately a  $\sim$ 25×25 field. Future PMC models that fit larger fields such as the full GGM02C 200×200 field (or the extended 360×360 version using the EGM-96 high order terms) should expect higher speedup values. Preliminary experiments demonstrate an expected doubling of speedup performance (25× to 30×) for the case of a PMC field with 122,880 mascons which could fit a 313×313 SH field using the resolution parameter of  $\alpha$ =1.25. Such a solution would require 240 GB of RAM to solve the normal equations and therefore requires a parallel implementation.

Finally, the reduction of the mascon approach to a few simple yet large matrix operations leads to favorable conditions for a Matlab implementation. In preliminary non-parallel experiments, it is found that a Matlab evaluation of the PMC156n30720 model is ~7 times slower than a Fortran implementation (using Visual Fortran 11.1.060 x64 compiler with standard 'release' settings running on a Xeon 3.2 GHz processor). While a high order SH implementation is not available for comparison using a Matlab implementation, a simple benchmark comparison between two-body orbit propagations using the same fixed step Runge Kutta 7(8) integration scheme shows that a Fortran implementation is ~400 times faster. In this context, the 7× performance hit in the case of the mascons is appealing compared to the typical 2-3 orders of magnitude difference between runtimes using Matlab and compiled code. Note that the 'fast' Matlab mascon implementation is only achievable using a naive summation and is likely due to Matlab's efficient use of large matrix operations. Future work is needed to fully examine the PMC model performance in Matlab.



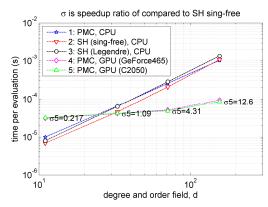


Figure 12: Grid evaluation results on accuracy compared to sing free SH.

Figure 13: Compute times for randomized calls for PMC and SH models from Table 1. GPUs demonstrate ~13× to ~16× speedups for the 156×156 resolution field.

## **Accuracy: Spacecraft trajectory computations**

Lastly, five representative spacecraft trajectories (see Table 2) are simulated in order to gauge speed and accuracy performance in a common astrodynamics application. Each of the simulations is repeated for each of the PMC models from Table 1. For all cases the geopotential model is computed in the same 5 methods as were used in the speed tests in Figure 13. The equations of motion are in the body fixed rotating frame and given in Eq. (18) where U is the total potential and  $\Omega$  is the system rotation and equals 0.00007292115 rad/s.

$$\ddot{x} = 2\Omega \dot{y} + \partial W/\partial x, \quad \ddot{y} = -2\Omega \dot{x} + \partial W/\partial y, \quad \ddot{z} = \partial W/\partial z$$

$$W = (\Omega^2/2)(x^2 + y^2) - U$$
(18)

This Hamiltonian system admits the well known Jacobi integral of motion,  $\mathcal{C}$ , that is useful for calibrating accuracy of numeric integration routines:

$$C = 2W - (\dot{x}^2 + \dot{y}^2 + \dot{z}^2) \tag{19}$$

The integrations are performed using a variable step-size Runge-Kutta 7(8) algorithm with step size error tolerance set to  $1 \times 10^{-14}$ . This step size tolerance translates to a preservation of ~11 digits of the Jacobi constant over a single rev in the case of Simulation 1 and the d=156 case (for both SH and PMC). It is important to mention here that fixed step integrations using very small step sizes are found to preserve C to as many as ~15 digits for SH implementations, while PMC implementations can only achieve ~13 digits (and only ~12 digits in the case of a naive summation). This discrepancy highlights a main drawback of the PMC models in that they inherently loose a few digits of precision (in the form of continuity in the last digits) due to the summation (as discussed earlier). For most practical purposes, this drawback may not be a serious concern as indicated by the fact that the very tight step size tolerance of  $10^{-14}$  leads to nearly identical C evolution for both PMC and SH methods. Tighter tolerances ( $< \sim 10^{-14}$ ) are not recommended for use with PMC models.

The results from these preliminary tests (see Figure 14) show that the PMC models perform similarly to SH in the context of typical Earth orbiting spacecraft trajectories. The maximum difference between the SH and PMC models across all of the simulations and models is found to be 0.6 meters after 3 days of flight time while most of the differences are in the cm or mm level. For the PMC case, both GPU cards lead to identical results and are generally very close to the CPU implementation. Speedups from the GPU implementations increase for the higher fidelity PMC models where the force evaluation dominates the computation effort. For the 156×156 case, the speedup values from Figure 13 are almost conserved achieving 11.5× and 15.5× speedups (approximately the same for all simulations) when compared to the singular-free and Legendre SH cases respectively.

Table 2: Spacecraft trajectory simulations. Time of flight for each is 3 days.

Simulation	Description	Perigee altitude (km)	Apogee altitude (km)	Inclination (deg)	number of revs revs
1	very low altitude, circular, near polar	150	150	85	49.4
2	low altitude, circular, near polar	450	450	85	46.2
3	medium altitude, circular, near polar	1,350	1,350	85	38.3
4	high altitude, circular, near polar	4,050	4,050	85	24.5
5	low perigee, highly eccentric, sun-sync	150	$3R_E$	63.5	12.8

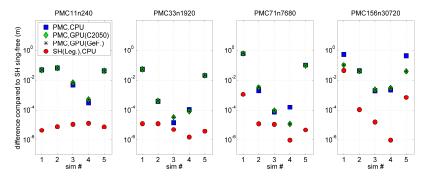


Figure 14: Comparisons of position differences after 3 day orbit simulations. Points are compared to singular-free implementation of associated SH field.

## **CONCLUSIONS**

In this study a history of mascon models and their use in Geodesy is given in the context of space applications. A global point mascon model is proposed as an alternative to the conventional spherical harmonics geopotential computation. An algorithm is presented to optimize the locations of globally distributed mascons and is based on the repeated solution to a large dense linear least squares problem using orthogonal methods. The mascon models are fit directly to the GGM02C spherical harmonics gravity field. Point mascon models are solved and evaluated for a variety of resolutions including up to 30,720 mascons and an associated 156 degree and order truncation of GGM02C. Residual error targets for the least squares solutions are conservatively justified based on published expected error levels of the GGM02C solution.

Future work includes detailed testing and verification of the current solutions, along with continued improvement for precision and efficiency of the solution generation and evaluation processes. Examples are improved mascon distributions and refinement; systematic inclusion of localized resolution; and parallel implementations of the least squares process to enable solutions approximating larger gravity fields (where Graphics Processing Unit (GPU) speedups will improve). Finally, preferred mascon model configurations could be implemented to directly estimate the gravity field from geodetic measurements rather than fitting the spherical harmonics function.

The main drawback of the global mascon model is the ill-conditioned summation problem that results from the mass terms taking both signs and spanning several orders of magnitude. Numerical experiments showed that errors in the sum lead to a loss of ~3 digits in precision (measured in continuity) compared to

spherical harmonics. The loss is reduced to ~2 digits when simple precision enhancing summation techniques are employed, leaving ~13 digits of continuity for the mascon models in the case of double precision.

The primary benefits of the global point mascon model are its extreme ease of use and its naturally parallel structure. To implement, users simply sum the Newtonian term due to each point mass and add the result to Earth's 2-body plus  $J_2$  contributions. Improved accuracy in the sum can be economically achieved through a well known, simple 'divide and conquer' summation technique (or at the expense of compute time other more sophisticated summation methods). The ease of use extends to high order derivatives that are trivial to compute (as opposed to spherical harmonics) and often useful for orbit estimation and optimization. Speed comparisons show that a single processor implementation is found to be approximately equal in accuracy and speed compared to spherical harmonics. Parallel implementations on two state-of-the-art GPU cards demonstrate order of magnitude speedups each in the case of the highest-resolution gravity field: PMC156n30720. Notably, one of the cards is a consumer gaming model and costs 1/10<sup>th</sup> that of the dedicated card for scientific computing. However in this bandwidth limited application, the performance difference between the two GPU cards tested is a modest ~10%. In terms of memory, the mascon model requirements are low, similar to spherical harmonics but opposed to competing interpolation methods that trade speed for huge memory footprints. Finally, preliminary experiments show that a Matlab implementation of the global mascon model is competitive with compiled code in terms of speed due to Matlab's efficient handling of large matrix operations. Therefore it is expected for the PMC models to have broad appeal to Matlab users.

Global point mascon solutions (i.e. lists of mascon locations and mass values) are archived at various fidelity levels (including the four studied in detail in the last section) and are available through request to RPR or on-line here: www.ae.gatech.edu/~rrussell/index\_files/mascon.htm.

#### ACKNOWLEDGMENTS

RPR thanks Haijun Shen and Analytical Mechanics Associates, Inc.; Jack Van Wieren and colleagues from the Air Force Space Command; and Kent Miller from the Air Force Office of Scientific Research (AFOSR) for collaborations and support. RPR also thanks John Junkins for his insightful conversations and suggesting the use of Thomson problem spacing. This material is based upon work supported by AFOSR under Contract No. FA9550-10-C-0061.

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